



# ACI is pleased to present the 2009 2nd Annual New Zealand Finance Sector Forum

Thursday 27th of August 2009, Auckland

ACI's New Zealand Financial Sector Forum, now in its second year, is a unique event designed to allow those at the forefront of the finance sector to hear from their industry peers and discuss shared issues. In the current climate of regulatory reform and global economic upheaval, New Zealand's finance sector faces the dual challenge of relieving the immediate impact of international recession and developing strategies for future financial development.

Attendees will have a unique opportunity to discuss some of the most significant regulatory and economic issues facing New Zealand's financial sector, featuring representatives from the Key Government, the Securities Commission, Reserve Bank of New Zealand and the New Zealand Stock Exchange. This comprehensive one day program will also look at issues such as AML and its impact on the compliance landscape, the impact of government guarantees and insurance on the financial sector, and market liquidity and its impact on foreign exchange.

## Featuring contributions from:

- ▶ *The Hon Bill English, Deputy Prime Minister, **Minister for Finance and Infrastructure***
- ▶ *Jane Diplock AO, Chairman, **Securities Commission New Zealand***
- ▶ *Tim Gibson, Chief Executive, **New Zealand Trade and Enterprise***
- ▶ *Toby Fiennes, Chairman, **Reserve Bank of New Zealand***
- ▶ *Prof. David Mayes, Adjunct Professor, Europe Institute, **University of Auckland***
- ▶ *Kylie Jackson-Cox, Compliance Manager, **Operational Risk and Compliance, BNZ***

## To Register:

To register your attendance at this forum or to find out more, please visit our website [www.compliance.org.nz](http://www.compliance.org.nz) or email [events@compliance.org.au](mailto:events@compliance.org.au) or contact the ACI office directly on **+61 2 9290 1788**.

**ACI Members: \$660 AUD Non Members: \$880 AUD**



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Australasian  
Compliance  
Institute

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## Forum Program

8.15 am	<b>Registration and refreshments</b>
8.45 am	<b>Chair's Opening Address</b> Martin Tolar, Chief Executive Officer, Australasian Compliance Institute
9.00 am	<b>Opening Ministerial Address</b> The Hon Bill English, Deputy PM, Minister for Finance and Infrastructure
9.45 am	<b>The effects of the credit crisis on the trans-Tasman environment and the New Zealand response</b> A large proportion of the risks concerning the outlook for the New Zealand economy relates to the global economic outlook, especially around global financial markets and international commodity prices. Jane will discuss how the Government is working to alleviate the effect of the credit crisis on the national and regional economic climate. <i>Jane Diplock AO, Chairman, Securities Commission New Zealand</i>
10.30 am	<b>Morning tea</b>
11.00 am	<b>Regulatory challenges for New Zealand's financial sector as the GFC deepens – Mapping the future regulatory landscape</b> <ul style="list-style-type: none"><li>• Keeping ahead of the game: Developing timely and relevant regulation</li><li>• How can better regulation shape behaviour?</li><li>• Are we seeing the end of free market capitalism?</li></ul> <i>Kylie Jackson-Cox, Compliance Manager, Operational Risk and Compliance, BNZ</i> <i>Toby Fiennes, Head of Prudential Supervision, Reserve Bank of New Zealand</i>
12.00 pm	<b>Examining AML – Fraud connection and its impact on the compliance landscape</b> <ul style="list-style-type: none"><li>• Analysing the impact of AML on the compliance landscape focusing particularly on the new Government legislation</li><li>• What will the impact of this new legislation mean to New Zealand's AML/CTF policies</li><li>• Adopting effective and enhanced due diligence strategies</li></ul> <i>Nick Paterson, Executive Director, Fraud Investigation and Dispute Services, Ernst &amp; Young</i>
12.45 pm	<b>Lunch</b>
1.45 pm	<b>The Road Ahead: The impact of government guarantees and insurance on the finance sector</b> An analysis of the possible impact and implications of the DGS and Government Wholesale Guarantee. Professor Mayes will discuss how these policies create a substantial and positive impact of the finance sector. <i>Prof. David Mayes, Adjunct Professor, Europe Institute, University of Auckland</i>
2.15 pm	<b>International crisis, domestic impact – trade and export in the GFC</b> New Zealand has one of the most highly efficient agricultural sectors in the world. As the global recession deepens what measures are being taken to ensure the export market remains robust? <i>Tim Gibson, Chief Executive, New Zealand Trade and Enterprise</i>
2.45 pm	<b>The role of the Compliance Professional in FSR and the growth of the financial services industry</b> <i>Sue Brown, Partner, DLA Phillips Fox</i>
3.30 pm	<b>Afternoon tea</b>
3.50 pm	<b>Monitoring financial stability to ensure economic resilience</b> Ensuring the future growth of the finance sector will require all aspects of the sector, including non-bank deposit takers, to comply with a new set of prudential requirements. Toby will explore: <ul style="list-style-type: none"><li>• What effect will the new policies have on the sector and how will they ensure future financial resilience?</li><li>• What is the intended impact of mandatory credit-ratings for all deposit takers and how will it affect the industry?</li></ul> <i>Toby Fiennes, Head of Prudential Supervision, Reserve Bank of New Zealand</i>
4.30 pm	<b>Market liquidity and its impact on foreign exchange</b> Currency strategist Danica Hampton will discuss: <ul style="list-style-type: none"><li>• How financial institutions and markets function – domestically and globally.</li><li>• How pricing volumes and flows of financial instruments provide insights into financial system robustness</li><li>• What impact will the current liquidity of the market have on the robustness of the dollar, both regionally and internationally</li></ul> <i>Danica Hampton, Currency Strategist, BNZ Capital</i>
5.15 pm	<b>Final remarks from the chair</b>
5.20 pm	<b>Close of conference</b>

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